



Open Access to publications in Horizon Europe

What you need to know before submitting
your work for publication

Françoise Vandooren
Université libre de Bruxelles
November 2023

ULB



What is required?



How to comply?



Are publication costs supported?



How to retain sufficient rights for immediate OA?



In which repository to deposit?





What is required?

All **peer-reviewed scientific publications** arising from Horizon Europe funding have to be made

- available in **open access**
- by depositing them on a **repository** (*even if the article is published in an Open Access journal*)
- **immediately** upon publication
- and with **no restrictions on use**.

Why?

WHY OPEN ACCESS TO SCIENTIFIC PEER-REVIEWED PUBLICATIONS?



GOOD FOR SCIENCE

allows scientists to build on
previous research results
and avoids unnecessary
duplication of effort
improved quality and
greater efficiency



GOOD FOR THE ECONOMY

speeds up innovation
faster progress to market



GOOD FOR SOCIETY

makes research available
to individual citizens and
to non-profit
organisations
greater transparency

Benefits for the researcher





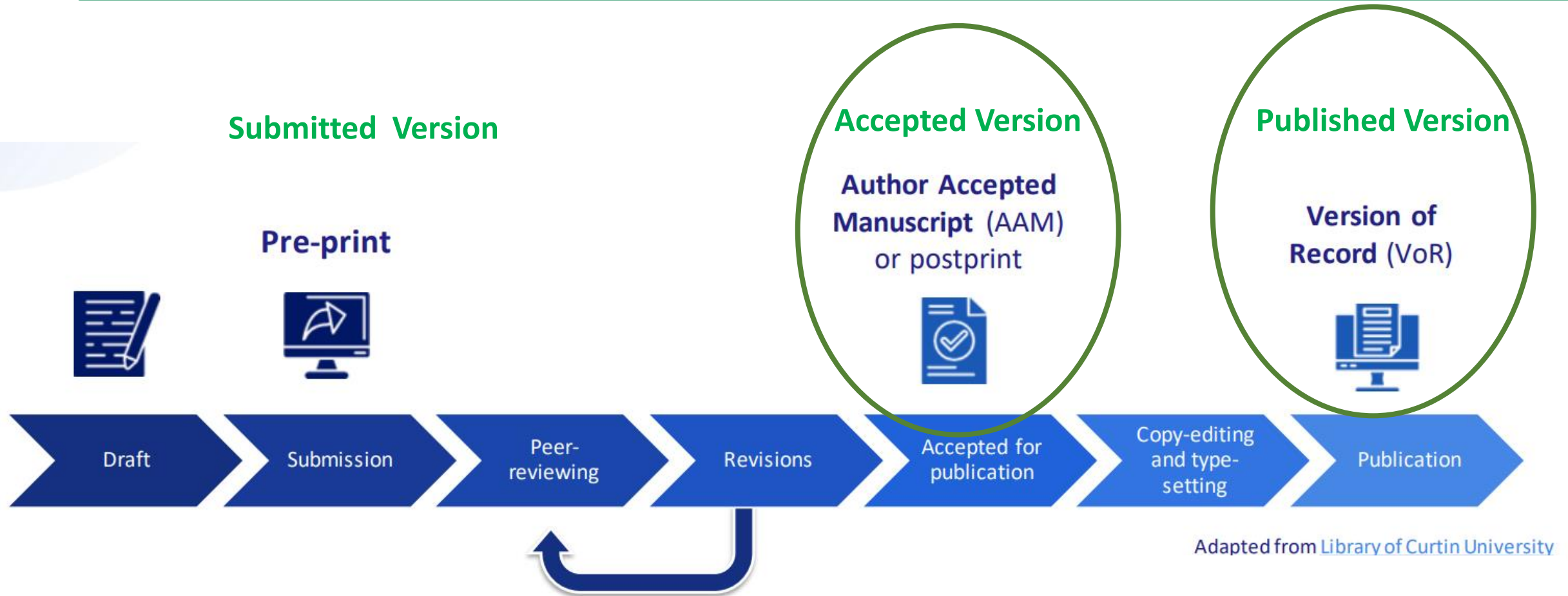
How to comply?

Which publications must be deposited in open access in a repository?

all peer-reviewed publications : journal articles, book chapters, books...

Long-text formats – such as books/monographs and edited volumes – are considered to be peer-reviewed if the manuscript or a substantial part thereof has been reviewed at least by one independent expert external to the publisher or to the series scientific editor(s).

Which version of the publication should be uploaded in Open Access to a repository?



Adapted from [Library of Curtin University](#)



When to upload and provide Open Access

At the time of publication, the full text must be in **immediate open access in the repository**, i.e. **no embargo** period is accepted anymore



Which license to use?

All publications deposited in a repository must be under a Creative Commons License
– Attribution : **CC BY license**

only for monographs and other long texts, a CC BY-NC, CC BY-ND or equivalent license prohibiting commercial use or derivative works is permitted

What is a Creative Commons license?

License granted by the author to users specifying **permitted uses** of the work that is made available to the public.

Three “Layers” Of Licenses



License adapted to the legislation of many countries:

- Legally valid contract
- Simplified version, easy to understand
- Machine readable

<https://creativecommons.org/>



6 types of Creative Commons licenses

CREATIVE COMMONS LICENSES		 COPY & PUBLISH	 ATTRIBUTION REQUIRED	 COMMERCIAL USE	 MODIFY & ADAPT	 CHANGE LICENSE
	PUBLIC DOMAIN	✓	✗	✓	✓	✓
	CC BY	✓	✓	✓	✓	✓
	CC BY-SA	✓	✓	✓	✓	✗
	CC BY-ND	✓	✓	✓	✗	
	CC BY-NC	✓	✓	✗	✓	✓
	CC BY-NC-SA	✓	✓	✗	✓	✗
	CC BY-NC-ND	✓	✓	✗	✗	
 You can redistribute (copy, publish, display, communicate, etc.)		 You have to attribute the original work		 You can use the work commercially		 You can modify and adapt the original work
						 You can choose license type for your adaptations of the work.

for all publications

for books

for books

Source: [Foter](https://creativecommons.org/licenses/by-sa/4.0/), CC BY-SA



Attribution 4.0 International (CC BY 4.0)

This is a human-readable summary of (and not a substitute for) the [license](#). [Disclaimer](#).

You are free to:

Share — copy and redistribute the material in any medium or format

Adapt — remix, transform, and build upon the material for any purpose, even commercially.

The licensor cannot revoke these freedoms as long as you follow the license terms.



Under the following terms:



Attribution — You must give [appropriate credit](#), provide a link to the license, and [indicate if changes were made](#). You may do so in any reasonable manner, but not in any way that suggests the licensor endorses you or your use.

No additional restrictions — You may not apply legal terms or [technological measures](#) that legally restrict others from doing anything the license permits.



Are publication costs supported?

- ❖ Books and book chapters published **in full OA**

- ✓ OA Costs (Book Processing Charges) are eligible for reimbursement



Éditions de l'Université de Bruxelles

[ABOUT US](#) ▼

[OUR TITLES](#) ▼

[BUY OUR \(E\)BOOKS](#) ▼

[OPEN ACCESS](#) ▼

[OUR AUTHORS](#)

[PUBLISH WITH US](#) ▼

[CONTACT](#)

[Home](#) » [Information](#)

[OUR OPEN ACCESS POLICY](#)

[PUBLISH IN OPEN ACCESS](#)

[OUR TITLES IN OPEN ACCESS](#)

PUBLISH IN OPEN ACCESS

The EUB assists authors desiring to publish their work in open access (monograph or edited collection), or are required to do so in compliance with the Open Access stipulations of their funding agency (H2020/ERC). In the latter case, publication costs can be supported by said agency.

The book can be published under a Creative Commons license and the author might thus post it on any website or open archive for non profit purposes.

The EUB initiate partnerships with large international Open Access platforms such as Oopen.org as a means of increasing the visibility and broadening the distribution of titles published in Open Access. Discover the entire catalogue of publications available in open access at the EUB.

[Contact-us](#) to discuss your Open Access project.





Are publication costs supported?

- ❖ Books and book chapters published **in full OA**

- ✓ OA Costs (Book Processing Charges) are eligible for reimbursement

- ❖ **Articles published in journals**

- ✓ OA Article Processing Charges are eligible for reimbursement for **fully open access journals** (not hybrid journals)



The researcher
decides in which
journal to
publish

Gold Open Access



Publish in a **fully
Open Access journal**
(eg. www.doaj.org)



Pay Article Processing
Charge (APC)
– if necessary
**Eligible for
reimbursement**



Article is in **immediate
Open Access**
on the publisher's
website



**Upload
immediately**
the article
to a trusted repository



**in immediate
Open Access**
under CC BY
license



Green Open Access



Publish in a
**subscription-based
journal**



Upload immediately
the **final manuscript**
accepted for publication
to a trusted repository



**in immediate
Open Access**
under CC BY
license



Hybrid journals

IF the Open Access
OPTION EXISTS
-> hybrid journal



Pay Article
Processing Charge
(APC)
**Not eligible for
reimbursement**



Article is in **immediate
Open Access**
on the publisher's website



**Upload
immediately**
the article
to a trusted repository



**in immediate
Open Access**
under CC BY
license





Are publication costs supported?

- ❖ Books and book chapters
 - ✓ OA publication costs (Book Processing Charges) are eligible for reimbursement
- ❖ Articles published in journals
 - ✓ OA Article Processing Charges are eligible for reimbursement for fully open access journals (not hybrid journals)
- ❖ **Articles published in other OA peer review publishing platforms *free of charge***
 - [SciPost](#)
 - [Peer Community In](#)
 - Open Research Europe for EC-funded research

Open Research Europe publishing platform



Research and Innovation

Open Research Europe

Search

[Browse](#) [Gateways & Collections](#) [How to Publish](#) [About](#) [Resource Hub](#) [Blog](#)

Open Research Europe

Rapid & Transparent Publishing

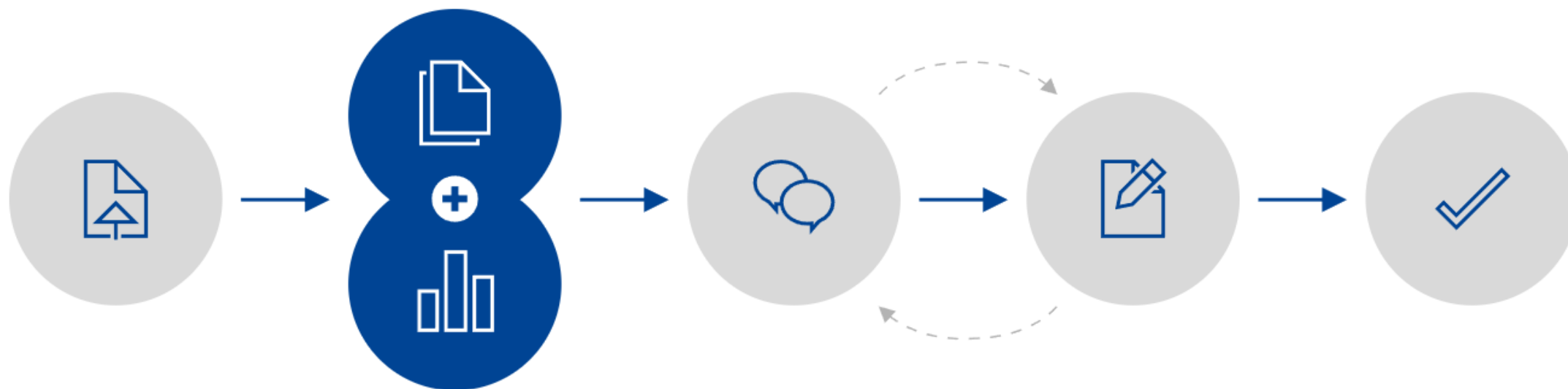
Fast publication and open peer review for research stemming from Horizon 2020 and Horizon Europe funding across all subject areas.

- Submissions by all H2020/Horizon Europe grantees in all disciplines, during and after the end of their grants : data and publications
- The European Commission covers the article processing charges - it is completely cost-free to publish on the platform
- Uses an open research publishing model: publication within days of submission, followed by open invited peer review.

<https://open-research-europe.ec.europa.eu/>

Open Research Europe publishing platform

Our Publishing Process



Article Submission

Submission is via a single-page submission system. The in-house editorial team carries out a comprehensive set of prepublication checks to ensure that all policies and ethical guidelines are adhered to.

Publication & Data Deposition

Once the article has passed the prepublication checks, a fully typeset version is published with a DOI, enabling immediate viewing and citation, as well as indexing in Google Scholar. Once the article is published, it cannot be sent to another journal for publication.

Open Peer Review & Article Revision

Expert reviewers are selected and invited, and their reviews and names are published alongside the article, together with the authors' responses and comments from registered users.

Send to Indexers & Repositories

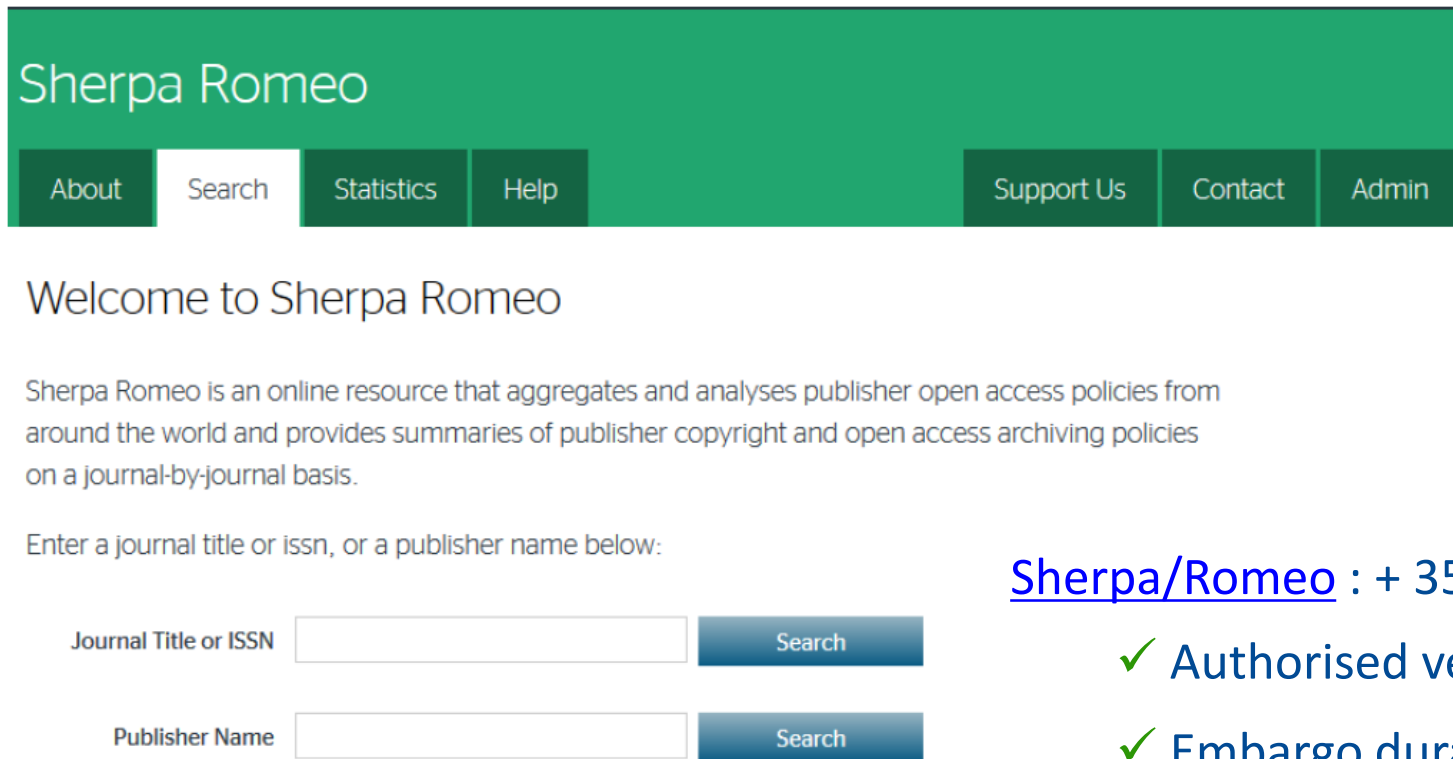
Authors are encouraged to publish revised versions of their article. All versions of an article are linked and independently citable. Articles that pass peer review are indexed in external databases such as PubMed, Scopus, and Google Scholar.



How to retain sufficient rights for immediate OA?

- Check in the Copyright Transfer Agreement that the publisher allows for the Author Accepted Manuscript or the edited version (i.e. Version of Record - VoR) to be uploaded on a repository in OA **without embargo and under a CC BY license**
- ❖ Check on the [Sherpa/Romeo website](#) the OA conditions of journals

Know the Open Access policy of a journal or publisher



The screenshot shows the Sherpa Romeo website. At the top is a green header with the 'Sherpa Romeo' logo on the left and a navigation menu on the right containing links for 'About', 'Search', 'Statistics', 'Help', 'Support Us', 'Contact', and 'Admin'. Below the header, the text 'Welcome to Sherpa Romeo' is displayed. A paragraph follows, stating: 'Sherpa Romeo is an online resource that aggregates and analyses publisher open access policies from around the world and provides summaries of publisher copyright and open access archiving policies on a journal-by-journal basis.' Below this, a prompt says 'Enter a journal title or issn, or a publisher name below:'. There are two search input fields. The first is labeled 'Journal Title or ISSN' and has a 'Search' button to its right. The second is labeled 'Publisher Name' and also has a 'Search' button to its right.

[Sherpa/Romeo](#) : + 3500 publishers >> Check :

- ✓ Authorised version
- ✓ Embargo duration
- ✓ Copyright owner/ licensing
- ✓ Type of authorized open archive

Journal of anthropological research

Not HEUR
compliant

OK but not
eligible for
reimbursement

HEUR
compliant

Published Version
[pathway a]



12m



CC BY-NC



Non-Commercial Institutional Repository, Non-Commercial Subject Repository, +2

Published Version
[pathway c]



None



CC BY



Any Repository, Journal Website



Prerequisites

If Required by Funder

Accepted Version
[pathway f]



None



CC BY



Non-Commercial Institutional Repository, Non-Commercial Subject Repository



Prerequisites

If Required by Funder



Embargo

No Embargo



Licence

CC BY



Location

Non-Commercial Institutional Repository

Non-Commercial Subject Repository



How to retain sufficient rights for immediate OA?

- Apply the [Rights Retention Strategy](#) outlined by cOAlition S: researchers have to give prior notice to publishers that an Author Accepted Manuscript (AAM) arising from their submission carries a CC BY license, in accordance with their grant conditions.

❖ [Pre-submission & covering letter templates](#)



Plan S

Making full & immediate
Open Access a reality

Rights Retention Strategy

<https://www.coalition-s.org/resources/rights-retention-strategy/>

Submission cover letter template text

The following text can be included by authors in their covering letter that accompanies their article when being submitted for consideration by a journal:

*As author(s) and original copyright holder(s) of this submission, I/we have applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript (AAM) version arising from this submission. If the article is accepted for publication, I/we will make the AAM available without embargo in a repository. Please confirm by return that the author(s) will **NOT** be required to sign a contract that prevents this action. If I/we do not hear from you before DD/MM/YYYY, I/we will seek a different journal that will accept my/our manuscript under these conditions.*

Created by cOAlition S and released under Public Domain Dedication [CC0](https://creativecommons.org/publicdomain/zero/1.0). To view a copy of this license, visit <http://creativecommons.org/publicdomain/zero/1.0>

USER GUIDE

Pre-submission & covering letter templates



Templates to help authors ask for the clarity
they need before peer review proceeds

PUBLISH WITH POWER: PROTECT YOUR RIGHTS

In which repository to deposit your publications in OA?



- A trusted **repository for scientific publications** of your choice:
 - The **researcher's institutional repository**,
 - or a subject-based/thematic repository, or a general-purpose repository, like Zenodo, developed by CERN.

NOT: personal website, storage solution (Drop Box, Google Drive...), academic social networks like Academia.edu, Research Gate...

- Metadata of deposited publications must be open under CC0 (or equivalent), in line with the FAIR principles
- Aim to deposit in a data repository the research data needed to validate the results presented in the deposited scientific publication
- And provide information about that data via the publication repository :
 - Indicate in DI-fusion links to data sets/code/... deposited in data repository or other OA platforms (use the field "Note")

Useful links

- [The Horizon Europe Programme Guide](#) (p50-53)
- [AGA – Annotated Model Grant Agreement](#) (p278)
- Horizon Europe, open science. Early knowledge and data sharing, and open collaboration. [Fact Sheet](#).
- MSCA-NET POLICY-BRIEF: [OPEN SCIENCE](#)
- OpenAIRE Guides for Researchers: [How to comply with Horizon Europe mandate for publications](#)

